

# Is conservative treatment still defensible in grade III acromioclavicular dislocation ? Are there predictive factors of poor outcome ?

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The optimal treatment of grade III acromioclavicular (AC) dislocation is still controversial. Recent studies recommend surgery at that stage whereas meta-analysis favours conservative management. The objective of the present investigation was to analyse a clinical series of non-operated grade III AC dislocations and to determine their functional status.

Thirty-five patients treated conservatively with a grade III acromioclavicular dislocation were retrospectively reviewed. Simple shoulder test, Oxford shoulder and bilateral Constant shoulder score were used for assessment. Various predictive criteria of poor outcome, particularly scapular dyskinesis were taken into account for analysis.

Overall mean and median Constant Score of the injured side were 92.9 and 94, whilst the contralateral shoulder values were respectively 94.9 and 95 (mean and median scores). Ten patients had scapular dyskinesis. Laterality, shoulder activity and scapular dyskinesis were not statistically related to worse outcome. Twenty-eight (80%) patients resumed normal activity within six months. All but two patients were subjectively very satisfied or satisfied.

Conservative treatment provided satisfactory results whatever the shoulder activity. No risk factors were predictive of a poorer outcome. Conservative management should remain the first option to manage these injuries.

**Keywords** : acromioclavicular dislocation ; scapular dyskinesis ; grade III dislocation ; non-operative treatment.

# **INTRODUCTION**

Grade III acromioclavicular (AC) dislocation treatment is still controversial (4,6,20). Recent metaanalysis (38), prospective trials (23,1,35), systematic reviews and (17,33,16,2,19) comparative studies (12)report similar or even superior results with conservative treatment, and therefore recommend a conservative management. Unfortunately these studies (17,23,1,14) have investigated procedures either performed more than twenty-five years ago or associated with a higher incidence of complications (pin transfixation, coracoclavicular screw or banding). The recommendation of conservative treatment might be obsolete.

The unsightly residual bump of the dislocated joint and the unsatisfactory outcome in some patients managed conservatively have led some surgeons to reconsider surgery as a potentially better treatment for this type of injury. Recent

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No benefits or funds were received in support of this study. The authors report no conflict of interests. results (7,47) are encouraging, with a reported lower complication rate at short follow-up in small groups of patients.

Several risk factors have been pointed (16,42) out to be related to fair results in conservative treatment and are being suggested as indication for surgery. Among these factors, scapular dyskinesis was an abnormal finding observed in disabled throwing athletes (5) and in chronic AC dislocations (15). Burkhart and Kibler (5) originally described this clinical entity as an abnormal motion of the scapula during scapulohumeral movements and is subsequent to an unbalanced scapular muscles activation after shoulder injury. The authors of the present investigation hypothesized that scapular dyskinesis might be a predictive factor of poor outcome after grade III acromioclavicular dislocation. Providing comparable results and identifying other risk factors of poor outcome were the main objectives of this study.

#### **MATERIAL & METHODS**

Every patient with an acute grade III AC dislocation diagnosed in our institution between 2005 and 2008 was treated conservatively. This consecutive series was retrospectively reviewed.

Diagnosis of grade III AC dislocation was established when a complete dislocation of the joint was present as classified by Rockwood, visible on anteroposterior (15° cephalic tilt) views radiographs. No dynamic studies of the acromioclavicular joints were performed. Shoulder sling and analgesics made up the conservative treatment protocole as well as full information to patient on the injury and consequence. Early motion of the shoulder was encouraged. Return to normal professional activity was allowed when possible but sport was not authorized during the first six weeks. No further control was requested.

Forty-eight patients were recruited. Exclusion criteria were : an age over 70 years (n = 2) and a late surgery (n = 4). Seven patients could not participate in the study. Four of them refused to enter the study and have not been operated on whereas the recent fate of three others remains unknown

Thirty-five patients entered the study and a complete clinical history was collected including the following criteria : age, sex, age at trauma, follow-up time, dominant and injured sides, professional and sport activities before and after injury. Three subgroups were distinguished among professional occupations : administrative worker, manual worker and student. The latter was gathered with administrative workers for statistical analysis. Sport activities were divided into four groups, which included athlete or professional sportsman, intensive shoulder user (overhead, contact and throwing sports), recreational sportsman and sedentary.

The patients were asked the time they took to recover (less than three months, between three and six months, between six months and a year, and more than a year) and go back to sports either the same level or not and the subjective overall treatment satisfaction (very satisfied, satisfied, not satisfied). Clinical examination was performed in all patients, with palpation of the AC joint and assessing any limitation in the shoulder range of movement. The presence of scapular dyskinesis if any was also noted.

Simple shoulder test (SST), Oxford shoulder test (OST) (21) and bilateral Constant shoulder score (CSS) (9) including an arm strength evaluation with the Isobex Dynamometer (Cursor AG, Bern, Switzerland) were performed. The questionnaire was filled in to assess global objective shoulder function (Table I). Finally patients were asked if they would have acted differently with the benefit of hindsight.

Statistical analysis was performed using standard descriptive statistics including means, standard deviation, median, minimum and maximum for continuous variables, frequency counts and percentages for categorical variables. The software SPSS 15.0 (SPSS Inc., Chicago, IL, USA) was used for all statistical analysis.

The Wilcoxon signed-rank test was used to compare CSS of injured and healthy shoulders. The Mann-Whitney and Kruskal-Wallis test were performed to compare the CSS, OSS and SST between subgroups of professional and sport activities, respectively. Fisher's exact test or Chi-square test was implemented to examine the statistical significance of each factor, depending on the number of observations. For all analysis, p-values < 0.05 were considered significant.

Median values of CSS instead of mean values were used for analysis because of non homogenous distribution of values among Constant score (all patients ranging between 76 and 100/100) in order to detect subtle significant variations among groups.

### RESULTS

Thirty-five patients (32M, 3F; Mean age  $\pm$  SD 36.4  $\pm$  14.6) were included in the series. Mean fol-

low-up was thirty-three months (SD  $\pm$  12.4). Minimal follow-up was one year. Twenty patients (57%) injured their dominant side, sixteen (45.7%) patients were manual workers, sixteen (45.7%) were administrative workers, others were students (8.3%). Categorization of sports into subgroups is listed (Table II).

Mean and median Constant shoulder score were 92.9(/100) and 94(/100) (Min-Max : 76-100) at the injured side and 94.9(/100) and 95(/100) at the opposite side. Mean and median Oxford shoulder score were 46.6(/48) and 48(/48). Mean and median Simple Shoulder Test were 11.5 (/12) and 12 (/12) respectively (Min-Max : 9-12). Worst CSS, OSS and SST scores were respectively 76, 34 and 10 (Table I).

All patients resumed sport activity except for three football players. Two injured their dominant side and were subjectively dissatisfied. The first was an administrative worker and had similar poor CSS (82/100) at review in both shoulders, whereas the second was a manual worker, with a poor CSS and OSS but excellent SST. He recovered in less than three months. The last football player stopped because of pain, while having normal mobility and strength. CSS was poor despite excellent OSS and SST, and he took more than a year to recover (Table I).

42.8% of patients (15/35) had a normal shoulder at 3 months, 37.2% (13/35) between three and six months, 11.4% (4/35) between six and twelve months and 8.6% (3/35) more than a year later.

Ten (25.8%) patients had scapular dyskinesis, with either an inferior medial scapular border prominence (type I) or a medial scapular border prominence (II) (Fig. 1) on comparison of both scapular positions.

Overall 94.4% of patients were very satisfied (n = 22) or satisfied (n = 11) with their shoulder at follow up.

Injury at the dominant side was not predictive of a poor outcome. Comparison of dominant and nondominant side scores yielded no significance (p = 0.88) Median CSS for the dominant and nondominant sides were 93.5 and 94 respectively. Similar conclusions were drawn regarding work and sport activities (Table III). Independently of the sidedness, t-test of median Constant scores between office and manual workers showed no significance (p = 0.233), as for the four subgroups of sport (p = 0.163). Therefore, none of the professional or sport categories among grade III AC dislocations were predictive of a poorer outcome.

Shoulder activity was suspected to influence the healing time, notably in manual work and high level sports. Our data clearly showed no evidence for such an association. The overall satisfaction rate was not influenced either by work (p = 0.992), by sport activity (intensive, athlete or recreational, sedentary) (p = 0.204), or by the injured side (dominant) (p = 0.148).

Finally, scapular dyskinesis was the last criteria we investigated. Ten patients were identified in our study, more frequently in the shoulder intensive users groups. Statistical analysis failed again to show any relation between scapular dyskinesis and the level of sporting activity (p = 0.657), as well as for administrative and manual workers (p = 0.493). Mean CSS was better in patients with dyskinesis than in patients without dyskinesis.

# DISCUSSION

Up to now, there is no firm recommendation for treatment of grade III AC. The meta-analysis (*38*) and systematic reviews in the literature (*4*,*16*,*39*,*40*) have failed to provide recommendations because they were based on low evidence studies. Those reviews generally recommend conservative treatment because of the non-superiority of surgery and the avoidance of multiple potential complications such as infection (*33*,*16*,*26*,*18*), implant discomfort (*8*,*18*) or failure (*44*,*40*), loss of reduction (*24*,*31*,*26*,*8*,*18*,*22*,*11*,*28*), second surgery for removal or revision (*8*,*18*,*11*), osteolysis (*6*), coracoclavicular ossifications (*6*,*28*), osteoarthritis (*6*) or unaesthetic scarring.

However, newer techniques of anatomic restitution and stabilisation of the AC joint have been reported in addition to the historical Weaver-Dunn (45), Bosworth (3,44) and Phemister (32,25) procedures, with emergence of modified techniques (10) of these latters. These anatomic reconstructions are based on the theoretical but unconfirmed benefits on

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	Satisfaction					very sat	satisfied	satisfied	very sat	satisfied	very sat	very sat	NOT sat	very sat	satisfied	very sat	satisfied	satisfied	satisfied	satisfied	very sat	NOT sat	satisfied	very sat	very sat	very sat	very sat	satisfied	satisfied	very sat	very sat											
	Scapular dyskinesis					Yes	No	Yes	No	No	No	No	No	Yes	No	Yes	No	No	No	Yes	No	No	No	No	No	Yes	No	No	No	No	No	Yes	No	Yes	Yes	No	No	Yes	No	No		
	STT (/12)					12	10	12	12	12	12	12	10	12	10	12	12	12	10	12	12	12	12	12	11	12	12	12	10	12	11	10	12	12	12	12	12	10	6	48	Mean	115
or the study	Oxford score (/48)	r.				48	46	48	48	46	47	48	46	48	48	48	48	48	44	48	47	48	48	45	46	48	48	48	47	48	39	30	48	46	48	48	48	46	46	48	Mean	16.6
	Contralateral Constant (/100)					94	92	96,4	94	100	92,8	100	81,5	93	83	95	100	93	88	100	95	100	94,4	97	84	100	98	91	66	94	66	86	92	98,8	100	97	94	100	100	100	Mean	010
	Constant score (/100)	к. г				96	89,5	95,4	93	96	91,2	100	82	96	100	98,6	99,5	87	75,5	94	95,5	96	88,9	93,2	87	100	93,5	81	86	96	86	91,5	94	96,4	100	92	92,5	93	96	100	Mean	0.00
arameters f	Time for recovery (months)	х т				0-3	3-6	0-3	0-3	3-6	0-3	0-3	> 12	0-3	0-3	3-6	3-6	0-3	3-6	6-12	0-3	0-3	3-6	6-12	0-3	3-6	3-6	3-6	> 12	0-3	0-3	> 12	0-3	6-12	0-3	3-6	0-3	6-12	3-6	3-6		
- Used I	Sport after					same	same	same	same	same	same	same	change	same	same	same	same	same	same	same	same	same	change	same	same	same	same	same	same	same	change	same	same	same	same	same	same	same	same	same		
Table I	t before	rofessionnal	ntensive	Recreational	Sedentary	Soccer	Running	-	Soccer	Sport teacher	5	/	Soccer		/	Soccer		-	01		Climbing		Football	01			Soccer	5	Soccer	Running	Soccer	Running	Sport teacher	0.	Cycling	Hockey	tennis	Boxing	)	Sport teacher		
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	Dominant side					Right	Right	Right	Right	Right	Left	Right	Right	Right	Right	Left	Right	Right	Right	Left	Right	Right	Left	Right	Right	Right	Right	Left	Right	Right	Right	Right	Right	Left	Right	Right	Right	Right	Right	Right		
	Follow up time					43	53	51	24	49	47	48	44	44	41	39	40	38	37	37	34	33	29	29	28	26	23	21	21	20	18	17	17	16	14	14	13	11	30	42	Mean	31 2
	Age at trauma					18	30	43	16	28	48	30	36	58	59	33	30	61	52	13	45	33	18	27	22	67	18	57	43	22	30	45	53	29	25	24	35	26	46	37	Mean	25.0

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Fig. 1

motion, strength, indolence, and correction of the deformity. These techniques have been developed to restore the coracoclavicular ligaments, using hook plates (14,26,11) or arthroscopy (43,31,49,7,47,11, 13,27), with tendon grafts (24,41,36), artificial ligaments (8,28) and various augmentation sutures (37,22, 27,46) and endobuttons (7). These newer techniques, especially with arthroscopy, are aimed at achieving better cosmetic appearance while reducing surgery related complications and finally shorten recovery time. Unfortunately, follow-up generally does not exceed two years. A recent study (29) has pointed out the high rate of potential complications. The Constant Shoulder Score was used as our standard reference to analyse the score of the injured shoul-

ders mainly for comparison with other studies. Our results, like others (12,6), confirm the efficacy of conservative treatment in grade III acromioclavicular dislocations at short-term outcome, with very satisfactory CSS, compared with unaffected sides and scores of surgically treated patients in other studies. Particularly some patients had a follow-up of only one year, but with a good and stable evolution for several months Leidel et al (25) evaluated the long term outcome of seventy K-wire transfixations of the AC joint. They reported a mean Constant score of 88/100 (+/-10) out of the three studied groups (short, middle, and long-term follow-up). The results between groups were alike and statistically insignificant. Another study about similar procedure and follow-up has given same conclusions. Temporary transfixation is a reliable and satisfactory technique for the grade III dislocation. Kienast et al (18) reported on a large sample of 225 hook plates at three-year follow-up, and revealed a CSS of 92.4/100, notably due to plate discomfort in all patients (but vanished at removal of the plate). The results are in concordance with another technique used by Lädermann et al (22) who assessed thirtyseven patients at four-year follow-up using no implant but a cerclage augmentation for the stabilisation of the AC joint, with a CSS of 96/100. They recommended their treatment based on the absence of implant or second surgery complications. Arthroscopic repair using synthetic ligament (8), tendon autograft (24), modified Weaver-Dunn associated with hook plates (22) and endobutton (31,49,7) failed to demonstrate significant superiority when evaluated with CSS. Few recent studies however showed interesting early results and a promising future for arthroscopic techniques (CSS of 97/100(31)). In

	Professional	Intensive	Recreational	sedentary
n patients	3 (8.6%)	11 (31.4%)	17 (47.6%)	4 (11.4%)
sports	kite surf teacher	american football	running	
	school sport teacher	football	climbing	
		tennis	Mountain biking	
		boxing		
		climbing		

Table II. - Categorization of sports into subgroups

W O	Office (n 19)	$\rightarrow$	93.95 (76-100)	0.000
R K	Manual (n 16)	$\rightarrow$	95 (82-100)	p = 0.233
	Professional (n 3)	$\rightarrow$	96 (94-100)	
S P	High risk (n 11)	$\rightarrow$	92.5 (82-99)	- 0.162
R T	Lower risk (n 17)	$\rightarrow$	95.5 (76-100)	p = 0.165
	No sport (n 4)	$\rightarrow$	97.7 (87-100)	

Table III. — Student's T-test between subgroups of work and sport activity (whatever the side)

that grade of injury, more than 160 techniques have been described (2), but none has proven to be more effective than conservative management, especially for people who favor function over esthetics.

Several authors (16,42) have mentioned factors suspected of poor outcomes in conservative management. Some surgeons therefore consider overhead and contact sports, manual labour (23), young and active patients (19) as indication for surgery, despite the absence of evidence (4,16). Our statistical analysis failed to ascertain them as surgery predictive factors. Equivalent conclusions were also reported in other studies (48). Rangger (34) observed more dismissals from sport when surgery was performed on overhead athletes. Our CSS were equal (93/100 versus 92.3/100) and not statistically significant within same subgroups of sport and work when using laterality as comparison criteria. Constant scores between our four subgroups of sport activity (professional, intensive or overhead, recreational and no sport) failed to show statistical significance. Unfortunately because of our small sample size within subgroups a significant relation could not be excluded.

Recovery time from injury was another factor to support operative treatment. In our series, 80% healed before six months. Seven patients took longer time. Three of them have reported their shoulder not recovered yet at the time of evaluation (two practising intensive and one recreational sport activity). The analysis found no correlation between the types of work and sport performed. The average mean time for recovery from injury in the literature was between eight and fourteen weeks. Law (24) recommended surgery because of their good results with a mean fourteen weeks to recover. Two review articles (41,42) showed a delay to return to work when surgery was performed, but these results were based on old surgical techniques (17,12,23,1). The precise time to return to work was not investigated in the present study, and we were not able to compare our results with those reported in the literature.

Scapular dyskinesis is a recently described entity (5) which is believed to be more prevalent among throwing athletes and injured shoulders, notably in case of acromioclavicular lesion. Three different clinical patterns are identified. Type I, showing inferior medial scapular border prominence, type II, with a protruding medial scapular border, and the type III pattern, displays prominence of the superomedial border of the scapula when compared to other scapula. Gumina (15) reported it in 70% of his chronically injured dislocations. Although it was less common, we surprisingly observed that 25% of the patients of our series presented a clinical anomaly but they were free of symptoms. Although one study (30) yielded lower incidence among patients undergoing surgery, these data did not change our management so far.

This study has some limitations such as being a small cohort, especially for subgroups statistics, retrospective and the variability of the follow-up of our patients.

Based on this study, we were unable to figure out factors related to a poorer outcome, and even if the new techniques have shown encouraging results, they still have to prove their benefit in comparison with conservative management over time. In the meantime, the non operative treatment in a grade III AC dislocation remains appropriate and should be recommended as the primary treatment.

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